

EXHIBIT A

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008¹

NOTICE OF TRUSTEE'S DETERMINATION OF CLAIM

October 19, 2009

Harold J. Hein (IRA)

[REDACTED]

Dear Harold J. Hein (IRA):

PLEASE READ THIS NOTICE CAREFULLY.

The liquidation of the business of BERNARD L. MADOFF INVESTMENT SECURITIES LLC ("BLMIS") is being conducted by Irving H. Picard, Trustee under the Securities Investor Protection Act, 15 U.S.C. § 78aaa et seq. ("SIPA"), pursuant to an order entered on December 15, 2008 by the United States District Court for the Southern District of New York.

The Trustee has made the following determination regarding your claim on BLMIS Account No. 1ZR192 designated as Claim Number 009824:

Your claim for securities is **DENIED**. No securities were ever purchased for your account.

Further, based on the Trustee's analysis, the amount of money you withdrew from your account at BLMIS (total of \$954,827.82), as more fully set forth in Table 1 annexed hereto and made a part hereof, is greater than the amount that was deposited with BLMIS for the purchase of securities (total of \$315,380.01). As noted, no securities were ever purchased by BLMIS for your account. Any and

¹ Section 78III(7)(B) of SIPA states that the filing date is "the date on which an application for a protective decree is filed under 78eee(a)(3)," except where the debtor is the subject of a proceeding pending before a United States court "in which a receiver, trustee, or liquidator for such debtor has been appointed and such proceeding was commenced before the date on which such application was filed, the term 'filing date' means the date on which such proceeding was commenced." Section 78III(7)(B). Thus, even though the Application for a protective decree was filed on December 15, 2008, the Filing Date in this action is on December 11, 2008.

all profits reported to you by BLMIS on account statements were fictitious.

Since there were no profits to use either to purchase securities or to pay you any money beyond the amount that was deposited into your BLMIS account, the amount of money you received in excess of the deposits in your account (\$639,447.81) was taken from other customers and given to you. Accordingly, because you have withdrawn more than was deposited into your account, you do not have a positive "net equity" in your account and you are not entitled to an allowed claim in the BLMIS liquidation proceeding. Therefore, your claim is **DENIED** in its entirety.

Should a final and unappealable court order determine that the Trustee is incorrect in his interpretation of "net equity" and its corresponding application to the determination of customer claims, the Trustee will be bound by that order and will apply it retroactively to all previously determined customer claims in accordance with the Court's order. Nothing in this Notice of Trustee's Determination of Claim shall be construed as a waiver of any rights or claims held by you in having your customer claim re-determined in accordance with any such Court order.

Nothing in this Notice of Trustee's Determination of Claim shall be construed as a waiver of any rights or claims held by the Trustee against you.

PLEASE TAKE NOTICE: If you disagree with this determination and desire a hearing before Bankruptcy Judge Burton R. Lifland, you **MUST** file your written opposition, setting forth the grounds for your disagreement, referencing Bankruptcy Case No. 08-1789 (BRL) and attaching copies of any documents in support of your position, with the United States Bankruptcy Court and the Trustee within **THIRTY DAYS** after October 19, 2009, the date on which the Trustee mailed this notice.

PLEASE TAKE FURTHER NOTICE: If you do not properly and timely file a written opposition, the Trustee's determination with respect to your claim will be deemed confirmed by the Court and binding on you.

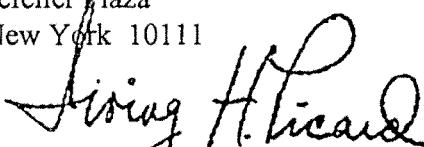
PLEASE TAKE FURTHER NOTICE: If you properly and timely file a written opposition, a hearing date for this controversy will be obtained by the Trustee and you will be notified of that hearing date. Your failure to appear personally or through counsel at such hearing will result in the Trustee's determination with respect to your claim being confirmed by the Court and binding on you.

PLEASE TAKE FURTHER NOTICE: You must mail your opposition, if any, in accordance with the above procedure, to each of the following addresses:

Clerk of the United States Bankruptcy Court for
the Southern District of New York
One Bowling Green
New York, New York 10004

and

Irving H. Picard, Trustee
c/o Baker & Hostetler LLP
45 Rockefeller Plaza
New York, New York 10111



Irving H. Picard

Trustee for the Liquidation of the Business of
Bernard L. Madoff Investment Securities LLC

cc: NTC & Co., FBO Harold J. Hein (IRA)
PO Box 173859
Denver, Colorado 80217

Carole Neville, Esq.
Sonnenschein Nath & Rosenthal LLP
1221 Avenue of the Americas, 25th Floor
New York, NY 10020

DEPOSITS		
DATE	TRANSACTION DESCRIPTION	AMOUNT
4/13/1993	CHECK	\$266,020.18
2/7/1994	CHECK	\$12,778.29
12/27/1994	CHECK	\$14,489.12
12/17/1996	CHECK	\$22,092.42
Total Deposits:		\$315,380.01
WITHDRAWALS		
DATE	TRANSACTION DESCRIPTION	AMOUNT
12/27/1993	CHECK	(\$12,778.29)
12/13/1994	CHECK	(\$14,489.12)
11/26/1996	CHECK	(\$22,084.51)
2/5/2002	CHECK	(\$60,000.00)
4/17/2003	CHECK	(\$75,000.00)
9/28/2004	CHECK	(\$68,987.30)
2/2/2005	CHECK	(\$70,000.00)
10/14/2005	CHECK	(\$76,500.00)
5/12/2006	CHECK	(\$100,000.00)
12/26/2006	CHECK	(\$100,025.00)
1/8/2007	CHECK	(\$15,000.00)
5/1/2007	CHECK	(\$67,338.60)
6/6/2007	CHECK	(\$37,500.00)
8/17/2007	CHECK	(\$25,025.00)
8/23/2007	CHECK	(\$100.00)
10/24/2007	CHECK	(\$30,000.00)
1/7/2008	CHECK	(\$114,000.00)
6/13/2008	CHECK	(\$35,000.00)
10/8/2008	CHECK	(\$31,000.00)
Total Withdrawals:		(\$954,827.82)
Total deposits less withdrawals:		(\$639,447.81)

EXHIBIT B

COPY

CUSTOMER CLAIM

Claim Number _____

Date Received _____

BERNARD L. MADOFF INVESTMENT SECURITIES LLC RECEIVED

In Liquidation

JUN 17 2009

DECEMBER 11, 2008

Irving H. Picard, Esq.
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

Provide your office and home telephone no.

OFFICE: (212) 768-6889

HOME: _____

Taxpayer I.D. Number (Social Security No.)

Account Number: 1-ZR192-3 & 1-ZR192-4

Harold J. Hein
[REDACTED]

(If incorrect, please change)

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

1. Claim for money balances as of **December 11, 2008**:
a. The Broker owes me a Credit (Cr.) Balance of \$ _____
b. I owe the Broker a Debit (Dr.) Balance of \$ _____

information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

	<u>YES</u>	<u>NO</u>
3. Has there been any change in your account since December 11, 2008? If so, please explain.	_____	✓
4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	_____	✓
5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	_____	✓
6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	_____	✓
7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.	_____	✓
8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	_____	✓

c. If you wish to repay the Debit Balance,
please insert the amount you wish to repay and
attach a check payable to "Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC."
If you wish to make a payment, **it must be enclosed**
with this claim form. \$ _____

d. If balance is zero, insert "None." NONE

2. Claim for securities as of **December 11, 2008**:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

	<u>YES</u>	<u>NO</u>
a. The Broker owes me securities	✓	_____
b. I owe the Broker securities	_____	✓
c. If yes to either, please list below:		

Date of Transaction (trade date)	Name of Security	Number of Shares or Face Amount of Bonds	
		The Broker Owes Me (Long)	I Owe the Broker (Short)
SEE STATEMENT DATED NOVEMBER 30, 2008	_____	✓	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

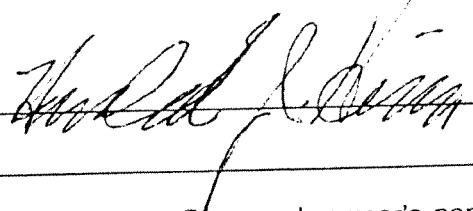
9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? If so, give name of that broker.

Please list the full name and address of anyone assisting you in the preparation of this claim form: Carole Neville, Sonnenschein Nath & Rosenthal LLP, 1221 Avenue of the Americas, 25th Floor, New York, NY 10020.

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF. *

Date 6/4/2009 Signature 
Date _____ Signature _____

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

* This form includes and incorporates in full the attached Addendum. Customer reserves the right to amend the claim for any purpose, including without limitation, to add interest, costs and other losses associated with this account.

ADDENDUM

Customer: **Harold J. Hein**

Address:

[REDACTED]

Account #: **1-ZR192-3**
1-ZR192-4

November 30, 2008 Statement – Closing balance net equity \$1,025,200.88

RENEWAL, MAIL
RENTAL DEPARTMENT
NEW YORK, LOCATED
4340 JAHN, NEW YORK
*DUPLICATES FOR ACCOUNT
4340 JAHN

卷之三

卷之三

Affiliated with
Madoff Securities International Limited
12 Berkeley Street
Mayfair, London W1 8DT
Tel 080 249 6222

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York □ London

DISPENSATION • E&P ACCOUNT **WIC 5 63**

885 Third Avenue
New York, NY 10022
(212) 230-2424
800 334-1343
Fax (212) 838-4061

DATE	BOUGHT RECEIVED ON LINE	SOLD DELIVERED OR SHIPPED	TIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT
11/1/12	330 792		75844 76346	SCHLUMBERGER LTD COMCAST CORP CL A	49.480 16.510	16,341.40 13,106.92
11/1/12	1,562		80170	ATEI TMC	27	42,236.00
11/1/12	396		80672	CONDOPHILIPS	52.510	20,808.96
11/1/12	264		84496	UNITED PARCEL SVC INC CLASS B	52.040	13,748.56
11/1/12	1,606		84998	CISCO SYSTEMS INC	16.730	26,932.38
11/1/12	462		88822	U S BANCORP	29.530	13,660.86
11/1/12	550		89324	CHEVRON CORP	73.430	40,408.50
11/1/12	264		93148	UNITED TECHNOLOGIES CORP	53.160	14,044.24
11/1/12	2,794		93650	GENERAL ELECTRIC CO	19.630	54,957.22
11/1/12	748		97474	VERIZON COMMUNICATIONS	30.410	22,775.68
11/1/12	66		97976	GOOGLE	337.400	22,270.40
11/1/12	1,000,000	24407 DUE 2/12/2009		U S TREASURY BILL	99.936	999,360.00
11/1/12				2/12/2009	DIV	3.00
11/1/12				FIDELITY SPARTAN		
11/1/12				U S TREASURY MONEY MARKET		
11/1/12				DIV 11/12/08		
11/1/12	6,356	19511		FIDELITY SPARTAN	1	6,356.00
11/1/12	4,944	28879		U S TREASURY MONEY MARKET	1	4,944.00
11/1/19				FIDELITY SPARTAN		
				U S TREASURY MONEY MARKET		
				DIV 11/19/08		
				CONTINUED ON PAGE	3	

REVIEWS OF BOOKS

Affiliated with
 Madoff Securities International Limited
 12 Berkeley Street
 Mayfair, London W1J 8DT
 Tel (020) 7493 6222

BERNARD L. MADOFF
 INVESTMENT SECURITIES LLC
 New York □ London
 * *DUPLICATE* * FOR ACCOUNT NYC & CO.
 HAROLD J HEIN

11/10/09 **3**
11/10/09 **1**
11/10/09 **1**
11/10/09 **1**

DATE	BOUGHT RECEIVED ON ACCOUNT	SELL DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/19		49944	54427	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1		49944.00
11/19	50,000		58829	U S TREASURY BILL DUE 03/26/2009	99.926	49963.00	
11/19	20,893		63463	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	20,893.00	
				NEW BALANCE			124,170.34
				SECURITY POSITIONS			
				ATT INC	MKT PRICE 28.560		
				ABBOTT LABORATORIES	52.390		
				AMGEN INC	55.540		
				APPLE INC	92.670		
				BANK OF AMERICA	16.250		
				CHEVRON CORP	79.010		
				CISCO SYSTEMS INC	16.540		
				CITI GROUP INC	8.290		
				COCA COLA CO	46.870		
				COMCAST CORP	17.340		
				CL AL			
				CONOCOPHILIPS	52.520		
				EXXON MOBIL CORP	80.150		
				GENERAL ELECTRIC CO	17.170		
				CONTINUED ON PAGE	4		

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

Amidst such
dreadful Securities International Limited
12 Berkeley Street
Mayfair, London W1 8DT
Tel 01 793 6222

885 Third Avenue
New York, NY 10022
(212) 230-2424
800 334-1343
Fax (212) 838-4061

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York □ London

— 1 —

110

卷之三

YOUR ACCOUNT NUMBER	1-7R192-3-0	YOUR TAX PAYER IDENTIFICATION NUMBER [REDACTED]	AMOUNT CREDITED TO YOUR ACCOUNT
PRICE OR SYMBOL		AMOUNT DEBITED TO YOUR ACCOUNT	

DATE	BOUGHT Received on Long	SELL Outstanding on Short	TRN	DESCRIPTION	PRICE ON TRADE	AMOUNT DEDUCTED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	748 572 924			VERIZON COMMUNICATIONS WAL-MART STORES INC WELLS FARGO & CO NEW MARKET VALUE OF SECURITIES LONG 1,040,160.88 SHORT	32.650 55.880 28.890		

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

Mediuff Securities International Limited
12 Berkeley Street
Mayfair, London W1J 8BT
Tel (020) 7493 6222

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York London
DUPLICATE FOR ACCOUNT NTC & CO.
HAROLD J HEIN

[REDACTED]



Page
6

11/30/08
[REDACTED]
[REDACTED]
1-7R192-3-0

DATE	BOUGHT RECEIVED ON LONG	SOLD DELIVERED ON SHORT	TRAN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
YEAR-TO-DATE SUMMARY							
DIVIDENDS							
GROSS PROCEEDS FROM SALES							
7,381.							
6,129,777.							

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

Affiliated with
 Macuff Securities International Limited
 12 Berkeley Street
 Mayfair, London W1J 8DT
 Tel 020 7493 6222

BERNARD L. MADOFF
 INVESTMENT SECURITIES LLC
 New York ☐ London
 ••DUPLICATE•• FOR ACCOUNT NYC E CO.
 HAROLD J HEIN

11/17/09

11/192-4-0
 1-7R192-4-0
 YOUR TAX PAYER IDENTIFICATION NUMBER
 YOUR ACCOUNT NUMBER

DATE	BOUGHT RECEIVED OR LOANED	SOLD DELIVERED OR SHORTED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12			22	45562 S E P 100 INDEX NOVEMBER 460 CALL	15.800		52,9703•C
11/12	22		22	49888 S E P 100 INDEX NOVEMBER 450 PUT	17.800	39,182•00	34,9738•C
11/19			22	35992 S E P 100 INDEX DECEMBER 430 CALL	26		57,178•0
11/19	22		22	40317 S E P 100 INDEX DECEMBER 420 PUT	30		66,022•00
11/19	22		22	44642 S E P 100 INDEX NOVEMBER 460 CALL	3		6,622•00
11/19			22	49967 S E P 100 INDEX NOVEMBER 450 PUT	37		81,378•C
				NEW BALANCE			124,171•0
				SECURITY POSITIONS			
			22	S E P 100 INDEX DECEMBER 430 CALL	23.300		
				S E P 100 INDEX DECEMBER 420 PUT	16.500		
				MARKET VALUE OF SECURITIES			
				LONG	51,260.00-		
				SHORT			
				36,300.00			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES